Karuk Tribe of California;

California Department of Fish and Game; and Ryan Broddrick,

Director, California Department of

Defendants.

Plaintiffs,

and Leaf Hillman.

vs.

Fish and Game.

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COUNTY OF ALAMEDA HAYWARD DIVISION

SUPERIOR COURT OF CALIFORNIA

Case No.: RG 05 211597

) JOINT STIPULATION FOR ENTRY OF JUDGMENT

) DEPT: 512 (Hayward)) JUDGE: Hon. Bonnie Sabraw

JOINT STIPULATION FOR ENTRY OF JUDGMENT

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JOINT STIPULATION FOR ENTRY OF JUDGMENT

This Joint Stipulation for Entry of Judgment (hereinafter "Joint Stipulation") is made by and between the Karuk Tribe of California and Leaf Hillman, Vice-Chairman of the Tribe, hereinafter jointly referred to as "Plaintiffs," and the California Department of Fish and Game ("DF&G"), and Ryan Broddrick, Director, DF&G, hereinafter jointly referred to as "Defendants." The effective date of the Joint Stipulation shall be when it is executed by DF&G.

RECITALS

This Joint Stipulation is made with reference to the following facts:

- A. On May 6, 2005, Plaintiffs filed their Complaint in this action against Defendants, alleging that Defendants had violated the California Environmental Quality Act ("CEQA"), Cal. Pub. Res. Code §§ 21000 et seq., and Fish and Game Code § 5653(b) in issuing permits for suction dredge mining, and sought declaratory and injunctive relief. As used in the Complaint and in this Joint Stipulation, "permits for suction dredge mining" refers to the permits issued by Defendants pursuant to Fish and Game Code §§ 5653-5653.9, and the regulations codified at 14 Cal. Code Regs. §§ 228, 228.5.
- B. On July 22, 2005, Defendants filed an answer to the Complaint, denying the allegations thereof and in particular denying that they had violated CEQA and Fish and Game Code § 5653(b).
- C. The Parties (Plaintiffs and Defendants) now desire to settle the disputes between them through the terms of this Joint Stipulation, which provides for the entry by the Alameda County Superior Court of a Stipulated Judgment in the form attached hereto as Exhibit A.

NOW, THEREFORE, in consideration of the terms, covenants and conditions set forth herein, the Parties hereto stipulate as follows:

TERMS OF JOINT STIPULATION

Jurisdiction/Incorporation: The Court has jurisdiction over the subject matter of
this action and the Parties to this Settlement. The Recitals are hereby incorporated as though
fully set forth herein.

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- 2. No Admission of Liability: Defendants expressly deny fault or liability for any and all claims made in the Complaint in this matter. The Parties expressly acknowledge that this Joint Stipulation and Stipulated Judgment are the result of a compromise of disputed civil claims and that there is no adjudication of any claim.
- Alameda County Superior Court of a Stipulated Judgment in this action in the form attached hereto as Exhibit A. Upon execution of this Joint Stipulation by the Parties and their counsel, the Parties agree within 10 days thereafter to jointly present this Joint Stipulation to the Court with a request that the Court enter the Stipulated Judgment in the form attached hereto as Exhibit A. The Parties agree to cooperate in taking whatever further action is necessary and in preparing such further documents as are necessary to secure the Court's entry of Exhibit A. The Parties further agree to make such changes in Exhibit A as may be requested or required by the Court for its issuance, so long as such changes do not alter the basic terms of the agreement between the Parties. In the event that the Court requires any changes to Exhibit A that would alter the basic terms of the agreement between the Parties, the Parties agree to negotiate in good faith to determine if they can stipulate to such a change in Exhibit A.
- 4. Joint Stipulation and Stipulated Judgment Does Not Bind Any Other Agency:
 This Joint Stipulation and Stipulated Judgment is made and entered into by and on behalf of the
 California Department of Fish and Game and Director Ryan Broddrick only. Except as
 expressly provided in this Joint Stipulation and Stipulated Judgment, nothing in this Joint
 Stipulation and Stipulated Judgment is intended or shall be construed to preclude the Attorney
 General from exercising his or her authority as an independent Constitutional officer under any
 law, statute, or regulation. Furthermore, except as expressly provided in this Joint Stipulation

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 and Stipulated Judgment, nothing in this Joint Stipulation and Stipulated Judgment is intended or shall be construed to preclude any state, local, or federal agency, board, department, office, commission, or entity from exercising its authority under any law, statute, regulation, or ordinance.

- 5. Plaintiffs' Release of the Defendants: Except as provided in Paragraph 6 below, the Plaintiffs shall and do release, discharge and covenant not to sue Defendants for any and all claims or causes of action alleged in the Complaint herein, provided that Plaintiffs retain their rights to enforce the terms of this Joint Stipulation and the Stipulated Judgment and provided further that the foregoing release in this Paragraph 5 shall not be effective until the termination of the injunction against DF&G in the Stipulated Judgment. In the event that DF&G adopts amendments to its regulations which provide for the closures of the water bodies in Exhibit 1 of the Stipulated Judgment for periods identical to or longer than the periods set forth therein, Plaintiffs agree not to file suit against Defendants challenging such amendments under either CEQA or the Administrative Procedure Act.
- Reservation of Rights: Plaintiffs and Defendants reserve their respective rights to initiate judicial or administrative action against each other for any matter not covered by this Joint Stipulation. Nothing in this Joint Stipulation shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations or activities of Defendants that are not matters covered by this Joint Stipulation. Nothing herein is intended or shall be construed as a waiver of Plaintiffs' right to institute an action to compel compliance with this Joint Stipulation or the Stipulated Judgment of the Court when entered. In addition, nothing in this Joint Stipulation is intended or shall be construed to preclude Plaintiffs from exercising their rights under any statute, regulation,

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 ordinance, or other law, including Plaintiffs' right to participate in any process held pursuant to the Administrative Procedure Act to amend the suction dredge mining regulations.

- 7. <u>Interpretation of Stipulation</u>: This Joint Stipulation shall be deemed to have been drafted equally by the Parties, and shall not be interpreted for or against either Party on the ground that any such Party drafted it. This Joint Stipulation and Stipulated Judgment shall be governed by and construed in accordance with the laws of the State of California.
- 8. <u>Integration</u>: This Joint Stipulation contains all of the terms and conditions agreed upon by the Parties relating to the matters covered by this Joint Stipulation and Stipulated Judgment, and supersedes any and all prior and contemporaneous agreements, negotiations, correspondence, understandings, and communications of the Parties, whether oral or written, respecting the matters covered by this Joint Stipulation and Stipulated Judgment. This Joint Stipulation and Stipulated Judgment may be amended or modified only by a writing signed by the Parties or their authorized representatives, and then by order of the Court.
- 9. Knowing. Voluntary Agreement: Each Party to this Joint Stipulation acknowledges that it has been represented by legal counsel, and that each Party has reviewed, and has had the benefit of legal counsel's advice concerning all of the terms and conditions of this Joint Stipulation and Stipulated Judgment.
- Authority to Execute: Each Party to this Joint Stipulation represents and warrants that the person who has signed this Joint Stipulation on its behalf is duly authorized to enter into this Joint Stipulation, and to bind that Party to the terms and conditions of this Joint Stipulation.
- 11. <u>Notice Information/ Party Communications</u>: Whenever, under the terms of this Joint Stipulation or the Stipulated Judgment of the Court, notice is required to be given or

documents to be served on or to either Party or Parties, the communication shall be directed to the following persons:

For Plaintiffs:

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Leaf Hillman Karuk Tribe of California 64236 - 2nd Avenue P.O. Box 1016 Happy Camp, CA 96039

With copies to:

James R. Wheaton Iryna A. Kwasny Joshua J. Borger Environmental Law Foundation 1736 Franklin Street, 9th Floor Oakland, CA 94612 Roger Beers 2930 Lakeshore Ave., Suite 408 Oakland, CA 94610

For Defendants:

Don Koch, Regional Manager Northern California – North Coast Region California Department of Fish and Game 601 Locust Street Redding, CA 96001

With copies to:

Banky Curtis, Deputy Director Habitat Conservation Division California Department of Fish and Game 1416 Ninth Street, 12th Floor Sacramento, CA 95814 Ann S. Malcolm, General Counsel
Office of the General Counsel
California Department of Fish and Game
1416 Ninth Street, 12th Floor
Sacramento, CA 95814

Mark W. Poole
California Department of Justice
455 Golden Gate Avenue, Suite 11000
San Francisco, CA 94102

12. Attorneys' Fees and Costs: DF&G agrees to pay Plaintiffs' reasonable attorneys' fees and costs incurred in this action. The Parties will negotiate in good faith in an attempt to reach agreement on the amount of such fees and costs, with the object that such fees and costs

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can be included in a proposed order to be submitted to the Court concurrently with the submission of the Joint Stipulation and Exhibit A. In the event that the Parties are unable to reach agreement on the amount of such fees and costs (either before or after such submission), Plaintiffs will file a motion to have the Court determine the amount of reasonable fees and costs to be paid by Defendant DF&G. DF&G shall pay such attorneys' fees and costs within 90 days of entry of judgment, consistent with Government Code section 948, in so far as the section applies, if an agreement has been reached between the Parties before then or within 90 days of a subsequent Court award of such fees and costs. The Parties without court order may agree to extend the time for DF&G to pay such attorneys' fees and costs.

- 13. <u>Counterparts</u>: This Joint Stipulation may be executed by the Parties in counterpart originals with the same force and effect as if fully and simultaneously executed as a single, original document.
- 14. Waiver of Appeal Right; Reservation of Right to Appeal Collateral Orders: The Parties agree to waive their right to appeal from this Joint Stipulation and Stipulated Judgment. Nothing in this Joint Stipulation and Stipulated Judgment shall be construed as a waiver of any Party's right to appeal from an order that arises from an action to enforce the terms of this Joint Stipulation and Stipulated Judgment.
- 15. <u>Effective Date</u>: The effective date of the Stipulated Judgment entered pursuant to this Joint Stipulation shall be the date that it is signed by the Judge of the Superior Court.
- 16. No Third Party Benefits: This Joint Stipulation and Stipulated Judgment is made for the sole benefit of the Parties, and no other person or entity shall have any rights or remedies under or by reason of this Joint Stipulation and Stipulated Judgment, unless otherwise expressly provided for herein.

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1	IT IS SO STIPULATED.	
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3	Dated: November <u>30</u> , 2005	California Department of Fish and Game and Ryan
4		Broddrick
5		By: Doney with for
6		Ryan Broddrick, Director, California Department of Fish and Game
7	Dated: December, 2005	Karuk Tribe of California and Leaf Hillman
8		By: Leaf Hillman, Vice Chairman,
9		Leaf Hillman, Vice Chairman, Karuk Tribe of California
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11		APPROVED AS TO FORM:
12	Dated: December, 2005	Bill Lockyer, Attorney General of the State of California
13		Mary Hackenbracht, Senior Assistant Attorney General John Davidson, Supervising Deputy Attorney General
15		Mark W. Poole, Deputy Attorney General
16		By: Mkg
17		Attorneys for Defendants California Department of Fish and Game and Ryan Broddrick
18	Dated: December, 2005	James R. Wheaton
19		Iryna A. Kwasny
20		Joshua J. Borger Environmental Law Foundation
21		By:
22		
24	Dated: December, 2005	Roger Beers
25		Attorneys for Plaintiffs Karuk Tribe of California,
26		and Leaf Hillman
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IT IS SO STIPULATED. 2 3 California Department of Fish and Game and Ryan Dated: November ___, 2005 Broddrick 4 5 Ryan Broddrick, Director, California 6 Department of Fish and Game 7 Dated: November 30, 2005 Karuk Tribe of California and Leaf Hillman 3 Leaf Hillman, Vice Chairman, 9 Karuk Tribe of California 10 11 APPROVED AS TO FORM: 12 Dated: November ____, 2005 Bill Lockyer, Attorney General of the State of California 13 Mary Hackenbracht, Senior Assistant Attorney General John Davidson, Supervising Deputy Attorney General 14 Mark W. Poole, Deputy Attorney General 15 By: _____ 16 Attorneys for Defendants California Department of Fish and 27 Game and Ryan Broddrick 28 Dated: November ____, 2005 James R. Wheaton 19 Iryna A. Kwasny Joshua J. Borger 20 Environmental Law Foundation 21 By: _____ 22 23 Dated: November ____, 2005 Roger Beers 24 25 Attorneys for Plaintiffs Karuk Tribe of California.

and Leaf Hillman

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Dated: November, 2005	California Department of Fish and Game and Ryan Broddrick
	By:
	Ryan Broddrick, Director, California Department of Fish and Game
Dated: November, 2005	Karuk Tribe of California and Leaf Hillman
	By:
	Ratur Tribe of California
	APPROVED AS TO FORM:
Dated: November, 2005	Bill Lockyer, Attorney General of the State of Californi Mary Hackenbracht, Senior Assistant Attorney General
	John Davidson, Supervising Deputy Attorney General Mark W. Poole, Deputy Attorney General
	Ву:
	Attorneys for Defendants California Department of Fish Game and Ryan Broddrick
Dated: November <u>20</u> , 2005	James R. Wheaton
	Iryna A. Kwasny Joshua J. Borger
	Environmental Law Foundation
	Ву:
Dated: November, 2005	
	Roger Beers
	Attorneys for Plaintiffs Karuk Tribe of California, and Leaf Hillman

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ì	IT IS SO STIPULATED.	
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3	Dated: November , 2005	California Department of Fish and Game and Ryan Broddrick
.		Rv·
6		By:
7	Dated: November, 2005	Karuk Tribe of California and Leaf Hillman
8		Ву:
э		By: Leaf Hillman, Vice Chairman, Karuk Tribe of California
. <u>:</u>		APPROVED AS TO FORM:
.3	Dated: November, 2005	Bill Lockyer, Attorney General of the State of California Mary Hackenbracht, Senior Assistant Attorney General
4		John Davidson, Supervising Deputy Attorney General Mark W. Poole, Deputy Attorney General
.6		Ву:
. 7		Attorneys for Defendants California Department of Fish and Game and Ryan Broddrick
3.	 Dated: November, 2005	James R. Wheaton
		Iryna A. Kwasny
25		Joshua J. Borger Environmental Law Foundation
21		
55		Ву:
23	Dated: November <u>30</u> , 2005	Roze Been
2 4		Roger Beers
25		Attorneys for Plaintiffs Karuk Tribe of California,
26		and Leaf Hillman
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HAYWARD DIVISION

SUPERIOR COURT OF CALIFORNIA

COUNTY OF ALAMEDA

Plaintiffs.

California Department of Fish and Game; and Ryan Broddrick, Director, California Department of Fish and Game,

Karuk Tribe of California;

and Leaf Hillman,

VS.

Defendants.

Case No.: RG 05 211597

) [PROPOSED] STIPULATED JUDGMENT

) DEPT: 512 (Hayward)) JUDGE: Hon. Bonnie Sabraw

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STIPULATED JUDGMENT

In this action, Plaintiffs Karuk Tribe of California and Leaf Hillman have filed a Complaint against Defendants California Department of Fish and Game ("DF&G"), and Ryan Broddrick, Director of DF&G. Plaintiffs alleged in their Complaint that Defendants had violated the California Environmental Quality Act ("CEQA"), Cal. Pub. Res. Code §§ 21000 et seq., and Fish and Game Code § 5653(b) in issuing permits for suction dredge mining, and sought declaratory and injunctive relief. Defendants have denied these allegations. The Court has been advised that the Parties have reached a settlement of this matter and has now received a Joint Stipulation for Entry of Judgment (hereinafter "Joint Stipulation"), bearing a header dated November 28, 2005, executed by the Plaintiffs and the Defendants, in which the Parties stipulate to the entry of this Stipulated Judgment. The Court having considered the Joint Stipulation and the other papers and pleadings filed, and good cause existing therefor, hereby issues the following as its Stipulated Judgment herein.

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

- 1. As used in this Stipulated Judgment, "permits for suction dredge mining" refers to the permits issued by Defendants pursuant to Fish and Game Code §§ 5653-5653.9, and the regulations codified at 14 Cal. Code Regs. §§ 228, 228.5.
- 2. DF&G is hereby enjoined and restrained from issuing permits for suction dredge mining that allow suction dredge mining during any of the periods of a year prohibited in Exhibit 1 attached hereto for the Klamath, Salmon, and Scott Rivers, their tributaries and thermal refugia.
- In the event that DF&G hereinafter adopts amendments to its regulations for permits for suction dredge mining, which amendments address the same areas as are covered in Exhibit 1, it may apply to this Court for a termination of the injunction set forth in paragraph 2 above. Any such application by Defendants shall be filed within 15 days of DF&G's adoption of the amendments and shall include a copy of the amendments to the regulations so adopted.

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- Plaintiffs shall have 30 days after the filing of any such application to state whether they object to the termination of the injunction, provided that Plaintiffs shall not file any such objections if the amendments to the regulations provide for the closures of the water bodies in Exhibit 1 for periods identical to or longer than the periods set forth therein. Any such objections shall include a statement of the grounds for the objections, which are limited to the scope of the claims in Plaintiffs' Complaint, and may include allegations of a failure to comply with CEQA or Fish & Game Code, section 5653. Upon the filing of proper objections by the Plaintiffs, they shall request that the Court set a hearing date to determine the merits of Plaintiffs' objections, and the Parties may request that the Court set intermediate deadlines for the filing of an administrative record for the amendments, for briefing and for such other matters as are necessary to bring the matter on for hearing. Plaintiffs may also seek to amend their Complaint herein, as provided by law.
- 5. In the event that the Court determines that Defendants have not complied with law in the adoption of the regulations, the Court may continue the injunction in Paragraph 2 in effect or enter such other relief as the Court determines is just and proper.
- 6. The injunction set forth in paragraph 2 of this Stipulated Judgment shall otherwise terminate if Defendants have filed an application for termination of the injunction pursuant to Paragraph 3 above, and either (i) no proper objection has been filed thereto by Plaintiffs pursuant to Paragraph 4 above, or (ii) the Court has entered an order or judgment ruling against all of Plaintiffs' objections. In either case the injunction shall remain in effect until the amendments to the regulations submitted to the Court pursuant to Paragraph 3 have become effective under California law.
- DF&G shall pay Plaintiffs' reasonable attorneys fees and costs incurred in this action.
- 8. This Stipulated Judgment is binding upon and shall inure to the benefit of the Parties and their respective agents, employees, successors, assigns, trustees, and personal representatives.

9. This Court shall retain jurisdiction to interpret, modify, and enforce the terms and conditions of this Stipulated Judgment and to enforce the Joint Stipulation for Entry of Judgment until such time that the injunction is terminated pursuant to paragraphs 3 and 6 above.

Dated:

The Honorable Bonnie Sabraw Judge of the Superior Court

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EXHIBIT 1 TO STIPULATED JUDGMENT PROHIBITIONS ON SUCTION DREDGE MINING

I. THE KLAMATH RIVER AND ITS TRIBUTARIES

SUCTION DREDGE MINING SHALL NOT BE ALLOWED:

- On the main stem of the Klamath River from its confluence with the Trinity River to Iron
 Gate Dam except from July 1 through September 15.
- On the following tributaries of the Klamath at any time of the year: Indian, Elk, Dillon,
 Independence, Bluff, Red Cap, Camp and Clear Creeks.
- On all other Klamath River tributaries, except from July 1 through September 15.

II. THE SALMON RIVER AND ITS TRIBUTARIES

SUCTION DREDGE MINING SHALL NOT BE ALLOWED:

- On the main stem Salmon River from its confluence on the Klamath River to the Forks of the Salmon River (i.e., the confluence of the North and South Forks of the Salmon River) at any time during the year.
- On the North and South Forks of the Salmon River, except from July 1 through September 15.
- On the following tributaries of the Salmon River at any time of the year: Butler Creek,
 East Fork Knownothing Creek, Indian Creek, Kelly Gulch, Knownothing Creek, Little
 North Fork, Methodist Creek, Negro Creek, Nordheimer Creek, and Specimen Creek.

III. THE SCOTT RIVER AND ITS TRIBUTARIES

SUCTION DREDGE MINING SHALL NOT BE ALLOWED:

- On the Scott River from its mouth to Headwaters, except from July 1 through September
 15.
- On the following tributaries of the Scott River at any time of the year: Big Mill Creek
 (East Fork), Boulder Creek (South Fork), Canyon Creek, Etna Creek, French Creek,
 Kangaroo Creek (East Fork), Kelsey Creek, Kidder Creek, McAdam Creek, Mill Creek
 (Scott Bar), Mill Creek (aka Shackleford/Mill), Miners Creek, Moffett Creek, Patterson

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Creek, Shackleford Creek, South Fork Scott River, Sugar Creek, Tompkins Creek, Wildcat Creek, and Wooliver Creek.

IV. THERMAL REFUGIA

Thermal refugia areas are located at the confluence of the tributary and the main stem of the river. Suction dredge mining shall not be permitted at the thermal refugia areas designated below within five hundred (500) feet up the named tributary from the confluence with the main stem and five hundred (500) feet up and downstream on the main stem from the confluence of the tributary with the main stem.

SUCTION DREDGE MINING SHALL NOT BE ALLOWED AT ANY TIME IN THE FOLLOWING THERMAL REFUGIA:

- The thermal refugia on all direct tributaries on the Klamath, Salmon, and Scott Rivers
 that are closed to suction dredge mining for the entire year as listed above.
- The thermal refugia areas at the confluence of the following tributaries with the main stem of the Klamath River: Beaver Creek, Bluff Creek, Bogus Creek, Boise Creek, Camp Creek, Clear Creek, Coon Creek, Elk Creek, Grider Creek, Hopkins Creek, Horse Creek, Hunter Creek, Independence Creek, Indian Creek, Irving Creek, Little Grider Creek, Pearch Creek, Pecwan Creek, Red Cap Creek, Rogers Creek, Salmon River, Salt Creek, Scott River, Slate Creek, Swillup Creek, Thomas Creek, Ti Creek, Tom Marten Creek, Trinity River, and Ukonom Creek.
- The thermal refugia at the confluence of all tributaries on the North Fork of the Salmon River from Eddie Gulch to the Forks of the Salmon (i.e., the confluence of the North and South Forks of the Salmon River) and Crapo Creek on the mainstern below the Forks of the Salmon.